

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Roderico Estanislao, Danilo Lambino, Connie Lim,  
Maki Sugimoto

Serial No. : Art Unit:

Filed : Examiner:

For : PRODUCT FOR ADMINISTRATION OF ACTIVE AGENTS TO  
DIFFERENT AREAS OF THE SKIN

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
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October 7, 2003

(Date of Deposit)

William E. McGowan

(Name of applicant, assignee, or Registered Representative)



(Signature)

October 7, 2003

(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a) (2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.


☒ The relevance of those listed references which are not in the English language is as follows: Japanese patent abstracts, Japanese patents and Japanese packaging are with English translations attached

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/J&J-5081/WEM. This form is submitted in triplicate.

Respectfully submitted,

  
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William E. McGowan  
Reg. No. 39,301  
Attorney for Applicants

Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003  
(732) 524-2197  
DATED: October 7, 2003

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

<b>Application Number</b>	
<b>Filing Date</b>	
<b>First Named Inventor</b>	Roderico Estanislao
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	J&J-5081

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		3,896,807		Buchalter	07/29/1975	
		4,591,501		Cioca	05/27/1986	
		5,026,552		Gueret et al.	06/25/1991	
		5,227,242		Walter et al.	07/13/1993	
		5,466,465		Royds et al.	11/14/1995	
		6,120,784		Snyder, Jr.	09/19/2000	
		6,159,493		Chen et al.	12/12/2000	
		6,316,021	B1	Gueret	11/13/2001	
		6,365,135	B1	Philippe et al.	04/02/2002	
		6,410,017		Weisgerber et al.	06/25/2002	
		6,503,232	B1	Kawai et al.	07/07/2003	
		6,521,241	B1	Minerath, III et al.	02/18/2003	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
		PCT	WO 97/32567	A1	Kao Corporation	09/12/1997		
		PCT	WO 01/02479	A1	The Procter & Gamble Company	01/11/2001		
		PCT	WO 01/02478	A1	The Procter & Gamble Company	01/11/2001		
		PCT	WO 01/02028	A2	The Procter & Gamble Company	01/11/2001		
		PCT	WO 01/01951	A1	The Procter & Gamble Company	01/11/2001		
		PCT	WO 01/01933	A2	The Procter & Gamble Company	01/11/2001		
		PCT	WP 00/62763	A2	Acutek International	10/26/2000		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<p><b>Substitute for form 1449A/PTO</b></p> <p style="text-align: center; font-size: 1.2em; font-weight: bold;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center; font-size: 0.8em;">(use as many sheets as necessary)</p> <p style="text-align: center; font-weight: bold;">Sheet 2 of 3</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="padding: 2px;"><i>Application Number</i></td><td style="padding: 2px;"></td></tr> <tr><td style="padding: 2px;"><i>Filing Date</i></td><td style="padding: 2px;"></td></tr> <tr><td style="padding: 2px;"><i>First Named Inventor</i></td><td style="padding: 2px;">Roderico Estanislao</td></tr> <tr><td style="padding: 2px;"><i>Group Art Unit</i></td><td style="padding: 2px;"></td></tr> <tr><td style="padding: 2px;"><i>Examiner Name</i></td><td style="padding: 2px;"></td></tr> <tr><td style="padding: 2px;"><i>Attorney Docket Number</i></td><td style="padding: 2px;">J&amp;J-5081</td></tr> </table>	<i>Application Number</i>		<i>Filing Date</i>		<i>First Named Inventor</i>	Roderico Estanislao	<i>Group Art Unit</i>		<i>Examiner Name</i>		<i>Attorney Docket Number</i>	J&J-5081
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		Number	Kind Code <sup>2</sup> (if known)			
		6,551,607	B1	Minerath, III et al.	04/22/2003	
		2003/0027738	A1	Delambre et al.	02/06/2003	
		2003/0044366	A1	Dole et al.	03/06/2003	

FOREIGN PATENT DOCUMENTS

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
		PCT	WO 02/062132	A2	The Procter & Gamble Company	08/15/2002		
		JP	11322538		Shiseido Co. Ltd.	11/24/1999		T
		JP	11130624		Kao Corporation	05/18/1999		T
		JP	2000 256162		Pola Chemical Ind Inc.	09/19/2000		T
		JP	1149635		Nitto Denko Corporation	02/23/1999		T
		JP	11228340		Nitto Denko Corporation	08/24/1999		T
		JP	2000136112		Ohashi Yoshitaka	05/16/2000		T
		JP	1081612		Asahi Chemical Industry Co. Ltd.	03/31/1998		T

Examiner Signature	Date Considered	
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<p><b>Substitute for form 1449A/PT</b></p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> <p>Sheet 3 of 3</p>		<p>Application Number</p> <p>Filing Date</p> <p>First Named Inventor</p> <p>Group Art Unit</p> <p>Examiner Name</p> <p>Attorney Dock. t Number</p>	<p>R derico Estanislao</p> <p></p> <p>J&amp;J-5081</p>
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Examiner Signature		Date Considered	
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